

7

Non-diffuse Curves

In this section we define a notion of rotation number for any non-diffuse curve in $\mathcal{L}_{\kappa_0}^{+\infty}$ and prove a bound on the total curvature of such a curve which depends only on its rotation number and κ_0 (prop. (7.8)).

(7.1) Lemma. *Suppose X is a connected, locally connected topological space and $C \neq \emptyset$ is a closed connected subspace. Let $\sqcup_{\alpha \in J} B_\alpha$ be the decomposition of $X \setminus C$ into connected components. Then:*

(a) $\partial B_\alpha \subset C$ for all $\alpha \in J$.

(b) For any $J_0 \subset J$, the union $C \cup \bigcup_{\beta \in J_0} B_\beta$ is also connected.

Proof. Assume (a) is false, and let $p \in \partial B_\alpha \setminus C$ for some α . Since C is closed and X locally connected, we can find a connected neighborhood $U \ni p$ which is disjoint from C . But $U \cap B_\alpha \neq \emptyset$ and B_α is a connected component of $X \setminus C$, hence $U \subset B_\alpha$, contradicting the fact that $p \in \partial B_\alpha$. Therefore $\partial B_\alpha \subset C$ as claimed. Moreover, $\partial B_\alpha \neq \emptyset$, otherwise $X = B_\alpha \sqcup (X \setminus B_\alpha)$ would be a decomposition of the connected space X into two open sets. Now, for $\beta \in J$, set $A_\beta = C \cup B_\beta = C \cup \overline{B}_\beta$. Each A_β is a union of two connected sets with non-empty intersection, hence is itself connected. Similarly, $C \cup \bigcup_{\beta \in J} B_\beta = \bigcup_{\beta \in J} A_\beta$ is connected as the union of a family of connected sets with a point in common. \square

We will also need the following well-known results.¹

(7.2) Theorem. *Let $A \subset \mathbf{S}^2$ be a connected open set.*

(a) *A is simply-connected if and only if $\mathbf{S}^2 \setminus A$ is connected.*

(b) *If A is simply-connected and $\mathbf{S}^2 \setminus A \neq \emptyset$, then A is homeomorphic to an open disk.*

(c) *Let $S_\pm \subset \mathbf{S}^2$ be disjoint and homeomorphic to \mathbf{S}^1 . Then the closure of the region bounded by S_- and S_+ is homeomorphic to $\mathbf{S}^1 \times [-1, 1]$. \square*

¹Part (b) of (7.2) is an immediate corollary of the Riemann mapping theorem and part (c) is the 2-dimensional case of the annulus theorem.

(7.3) Lemma. *Let $U_{\pm} \subset \mathbf{S}^2$ be homeomorphic to open disks, $U_- \cup U_+ = \mathbf{S}^2$. Then*

$$U_- \cap U_+ \approx \mathbf{S}^1 \times (-1, 1).$$

Proof. We first make two claims:

- (a) Suppose $C \approx \mathbf{S}^1 \times [-1, 1]$ and $h: \partial C_- \rightarrow \mathbf{S}^1 \times \{-1\}$ is a homeomorphism, where ∂C_- is one of the boundary circles of C . Then h may be extended to a homeomorphism $H: C \rightarrow \mathbf{S}^1 \times [-1, 1]$.
- (b) Let M be a tower of cylinders, in the sense that:
 - (i) $M_i \approx \mathbf{S}^1 \times [-1, 1]$ for each $i \in \mathbf{Z}$;
 - (ii) $M = \bigcup_{i \in \mathbf{Z}} M_i$ and M has the weak topology determined by the M_i ;
 - (iii) $M_i \cap M_j = \emptyset$ for $j \neq i \pm 1$ and $M_i \cap M_{i+1} = S_i^+ = S_{i+1}^-$, where S_i^{\pm} are the boundary circles of M_i .

Then $M \approx \mathbf{S}^1 \times (-1, 1)$.

Claim (a) is obviously true if $C = \mathbf{S}^1 \times [-1, 1]$: Just set $H(z, t) = (h(z), t)$. In the general case let $F: C \rightarrow \mathbf{S}^1 \times [-1, 1]$ be a homeomorphism. Note that ∂C is well-defined as the inverse image of $\mathbf{S}^1 \times \{\pm 1\}$ ($p \in \partial C$ if and only if $U \setminus \{p\}$ is contractible whenever U is a sufficiently small neighborhood of p). Hence ∂C consists of two topological circles, $\partial C_{\pm} = F^{-1}(\mathbf{S}^1 \times \{\pm 1\})$. Let $f = F|_{\partial C_-}$ and let $g = h \circ f^{-1}: \mathbf{S}^1 \rightarrow \mathbf{S}^1$. As we have just seen, we can extend g to a self-homeomorphism G of $\mathbf{S}^1 \times [-1, 1]$. Now define $H: C \rightarrow \mathbf{S}^1 \times [-1, 1]$ by $H = G \circ F$. Then $H|_{\partial C_-} = g \circ f = h$, as desired.

To prove claim (b), let $H_0: M_0 \rightarrow \mathbf{S}^1 \times [-\frac{1}{2}, \frac{1}{2}]$ be any homeomorphism. By applying (a) to $M_{\pm 1}$ and $h_{\pm 1} = H_0|_{S_0^{\pm}}$, we can extend H_0 to a homeomorphism

$$H_1: M_0 \cup M_{\pm 1} \rightarrow \mathbf{S}^1 \times \left[-\frac{2}{3}, \frac{2}{3}\right],$$

and, inductively, to a homeomorphism

$$H_k: \bigcup_{|i| \leq k} M_i \rightarrow \mathbf{S}^1 \times \left[-1 + \frac{1}{k+2}, 1 - \frac{1}{k+2}\right] \quad (k \in \mathbf{N}).$$

Finally, let $H: M \rightarrow \mathbf{S}^1 \times (-1, 1)$ be defined by $H(p) = H_i(p)$ if $p \in M_i$. Then H is bijective, continuous and proper, so it is the desired homeomorphism.

Returning to the statement of the lemma, note first that $\partial U_{\pm} \subset U_{\mp}$. Indeed, if $p \in \partial U_- \cap (\mathbf{S}^2 \setminus U_+)$ then $p \notin U_- \cup U_+ = \mathbf{S}^2$, hence no such p exists. Let $h_{\pm}: B(0; 1) \rightarrow U_{\pm}$ be homeomorphisms, and define $f_{\pm}: [0, 1) \rightarrow \mathbf{R}$ by

$$f_{\pm}(r) = \sup \{d(p, \partial U_{\pm}) : p \in h_{\pm}(r\mathbf{S}^1)\},$$

where d denotes the distance on \mathbf{S}^2 . We claim that $\lim_{r \rightarrow 1} f_{\pm}(r) = 0$. Observe first that f_{\pm} is strictly decreasing, for if $q \in h_{\pm}(r_0 \mathbf{S}^1)$, $r_0 < r$, then any geodesic joining q to ∂U_{\pm} intersects $h(r \mathbf{S}^1)$. Hence the limit exists; if it were positive, then U_{\pm} would be at a positive distance from ∂U_{\pm} , which is absurd.

Now choose $n \in \mathbf{N}$ such that

$$f_{\pm}(t) < \frac{1}{2} \min \{d(\partial U_{-}, \mathbf{S}^2 \setminus U_{+}), d(\partial U_{+}, \mathbf{S}^2 \setminus U_{-})\}$$

for any $t > 1 - \frac{1}{n}$. Set

$$S_i = h_{+} \left(\left(1 - \frac{1}{n+i} \right) \mathbf{S}^1 \right) \text{ for } i > 0 \text{ and } S_i = h_{-} \left(\left(1 - \frac{1}{n-i} \right) \mathbf{S}^1 \right) \text{ for } i < 0.$$

Finally, let M_0 be the region of $U_{-} \cap U_{+}$ bounded by S_1 and S_{-1} and, for $i > 0$ (resp. < 0), let M_i the region bounded by S_i and S_{i+1} (resp. S_{i-1}). Using (7.2(c)) we see that $U_{-} \cap U_{+} = \bigcup M_i$ is a tower of cylinders as in claim (b), and we conclude that $U_{-} \cap U_{+} \approx \mathbf{S}^1 \times (-1, 1)$. \square

Remark. Another proof of the previous result can be obtained as follows: Since U_{\pm} are each contractible, the Mayer-Vietoris sequence yields immediately that $U_{-} \cap U_{+}$ has the homology of \mathbf{S}^1 . Together with a little more work it then follows from the classification of noncompact surfaces that $U_{-} \cap U_{+} \approx \mathbf{S}^1 \times (-1, 1)$.

We now return to spaces of curves.

(7.4) Definitions. For fixed $\kappa_0 \in \mathbf{R}$ and $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$, let C denote the image of C_{γ} and $D = -C$. Assuming γ non-diffuse (meaning that $C \cap D = \emptyset$), let \hat{C} (resp. \hat{D}) be the connected component of $\mathbf{S}^2 \setminus D$ containing C (resp. the component of $\mathbf{S}^2 \setminus C$ containing D) and let $B = \hat{C} \cap \hat{D}$.

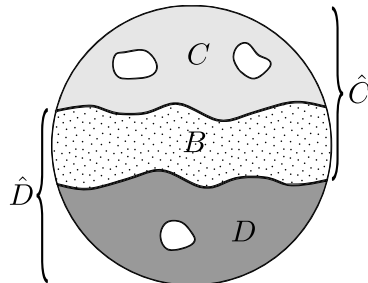


Figure 13: A sketch of the sets defined in (7.4) for a non-diffuse curve $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$. The lightly shaded region is C and the darkly shaded region is $D = -C$; both are closed. The dotted region represents B , which is homeomorphic to $\mathbf{S}^1 \times (-1, 1)$ by (7.5(c)).

(7.5) Lemma. *Let the notation be as in (7.4).*

- (a) C and D are at a positive distance from each other.
- (b) $B \subset \mathbf{S}^2 \setminus (C \cup D)$ is open and consists of all $p \in \mathbf{S}^2$ such that: there exists a path $\eta: [-1, 1] \rightarrow \mathbf{S}^2$ with

$$\eta(-1) \in D, \quad \eta(1) \in C, \quad \eta(0) = p \quad \text{and} \quad \eta(-1, 1) \subset \mathbf{S}^2 \setminus (C \cup D).$$

- (c) The set B is homeomorphic to $\mathbf{S}^1 \times (-1, 1)$.

Proof. The proof of each item will be given separately.

- (a) This is clear, since C and D are compact sets which, by hypothesis, do not intersect.
- (b) Being components of open sets, \hat{C} and \hat{D} are open, hence so is B .

Suppose $p \in B$. Since $p \in \hat{C}$, there exists $\eta_+: [0, 1] \rightarrow \mathbf{S}^2$ such that

$$\eta_+(0) = p, \quad \eta_+(1) \in C \quad \text{and} \quad \eta_+[0, 1] \subset \mathbf{S}^2 \setminus D.$$

We can actually arrange that $\eta_+[0, 1) \subset \mathbf{S}^2 \setminus (C \cup D)$ by restricting the domain of η_+ to $[0, t_0]$, where $t_0 = \inf \{t \in [0, 1] : \eta_+(t) \in C\}$ and reparametrizing; note that $t_0 > 0$ because B is open and disjoint from C . Similarly, there exists $\eta_-: [-1, 0] \rightarrow \mathbf{S}^2$ such that

$$\eta_-(-1) \in D, \quad \eta_-(0) = p \quad \text{and} \quad \eta_-(-1, 0] \subset \mathbf{S}^2 \setminus (C \cup D).$$

Thus, $\eta = \eta_- * \eta_+$ satisfies all the requirements stated in (b).

Conversely, suppose that such a path η exists. Then $p \in \hat{C}$, for there is a path $\eta_+ = \eta|_{[0, 1]}$ joining p to a point of C while staying outside of D at all times. Similarly, $p \in \hat{D}$, whence $p \in B$.

- (c) The set \hat{C} is open and connected by definition. Its complement is also connected by (7.1 (b)), as it consists of D and the components of $\mathbf{S}^2 \setminus D$ distinct from \hat{C} . From (7.2 (a)) it follows that \hat{C} is simply-connected. Further, $\hat{C} \cap D = \emptyset$, hence the complement of \hat{C} is non-empty and (7.2 (b)) tells us that \hat{C} is homeomorphic to an open disk. By symmetry, the same is true of \hat{D} .

We claim that $\hat{C} \cup \hat{D} = \mathbf{S}^2$. To see this suppose $p \notin C$, and let A be the component of $\mathbf{S}^2 \setminus C$ containing p . If $A \cap D \neq \emptyset$ then $A = \hat{D}$ by definition. Otherwise $A \cap D = \emptyset$, hence there exists a path in $\mathbf{S}^2 \setminus D$

joining p to ∂A . By (7.1(a)), $\partial A \subset C$, consequently $A \subset \hat{C}$. In either case, $p \in \hat{C} \cup \hat{D}$.

We are thus in the setting of (7.3), and the conclusion is that

$$B = \hat{C} \cap \hat{D} \approx \mathbf{S}^1 \times (-1, 1). \quad \square$$

In what follows let ∂B_γ be the restriction of B_γ to $[0, 1] \times \{0, \rho_0 - \pi\}$, let

$$\hat{B} = \text{Im}(B_\gamma) \setminus \text{Im}(\partial B_\gamma),$$

and let

$$\bar{B}_\gamma: \mathbf{S}^1 \times [\rho_0 - \pi, 0] \rightarrow \mathbf{S}^2$$

be the unique map satisfying $\bar{B}_\gamma \circ (\text{pr} \times \text{id}) = B_\gamma$, $\text{pr}(t) = \exp(2\pi it)$.

(7.6) Lemma. *Let $\kappa_0 \in \mathbf{R}$ and suppose that $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$ is non-diffuse. Then:*

- (a) *For any $t \in [0, 1]$, $B_\gamma(\{t\} \times (\rho_0 - \pi, 0))$ intersects B .*
- (b) *$B \subset \hat{B}$.*
- (c) *$\bar{B}_\gamma^{-1}(q)$ is a finite set for any $q \in \mathbf{S}^2$ and $\bar{B}_\gamma: \bar{B}_\gamma^{-1}(\hat{B}) \rightarrow \hat{B}$ is a covering map.*

Proof. We split the proof into parts.

- (a) Note first that $B_\gamma(t, 0) \in C$ and $B_\gamma(t, \rho_0 - \pi) \in D$ for any $t \in [0, 1]$ by definition. Let

$$\begin{aligned} \theta_1 &= \inf \{ \theta \in [\rho_0 - \pi, 0] : B_\gamma(t, \theta) \in C \}, \\ \theta_0 &= \sup \{ \theta \in [\rho_0 - \pi, \theta_1] : B_\gamma(t, \theta) \in D \}. \end{aligned}$$

Then $\theta_0 < \theta_1$ by (7.5(a)). Let $\eta = B_\gamma|_{\{t\} \times [\theta_0, \theta_1]}$. Then

$$\eta(\theta_0) \in D, \quad \eta(\theta_1) \in C \quad \text{and} \quad \eta(\theta_0, \theta_1) \subset \mathbf{S}^2 \setminus (C \cup D)$$

by construction. Therefore, any point $\eta(\theta)$ for $\theta \in (\theta_0, \theta_1)$ satisfies the characterization of B given in (7.5(b)), and we conclude that

$$B_\gamma(\{t\} \times (\theta_0, \theta_1)) \subset B.$$

- (b) Let $B_0 = B \cap \text{Im}(B_\gamma)$. By part (a), $B_0 \neq \emptyset$. Since $\text{Im}(\partial B_\gamma) \subset C \cup D$, while $B \cap (C \cup D) = \emptyset$ by definition, $B \cap \text{Im}(\partial B_\gamma) = \emptyset$. Hence,

$$B_0 = B \cap \bar{B}_\gamma(\mathbf{S}^1 \times (\rho_0 - \pi, 0)),$$

which is an open set because \bar{B}_γ is an immersion, by (4.7(a)). Since $\text{Im}(B_\gamma)$ is compact, B_0 is also closed in B . But B is connected by (7.5(c)), consequently $B_0 = B$ and $B \subset \hat{B}$.

- (c) Let $q \in \mathbf{S}^2$ be arbitrary. The set $\bar{B}_\gamma^{-1}(q)$ is discrete because \bar{B}_γ is an immersion, and it is compact as a closed subset of \mathbf{S}^2 . Hence, it must be finite. Now suppose $q \in \hat{B}$. Let $\bar{B}_\gamma^{-1}(q) = \{p_i\}_{i=1}^n$ and choose disjoint open sets $U_i \ni p_i$ restricted to which \bar{B}_γ is a diffeomorphism. Let $U = \bigcup_{i=1}^n U_i$ and

$$W = \bar{B}_\gamma(U_1) \cap \cdots \cap \bar{B}_\gamma(U_n) \setminus \bar{B}_\gamma(\mathbf{S}^1 \times [\rho_0 - \pi, 0] \setminus U).$$

Then W is a distinguished neighborhood of q , in the sense that $\bar{B}_\gamma^{-1}(W) = \bigsqcup_{i=1}^n V_i$ and $\bar{B}_\gamma: V_i \rightarrow W$ is a diffeomorphism for each i , where

$$V_i = \bar{B}_\gamma^{-1}(W) \cap U_i. \quad \square$$

Parts (b) and (c) of (7.6) allow us to introduce a useful notion which essentially counts how many times a non-diffuse curve winds around \mathbf{S}^2 .

(7.7) Definition. Let $\kappa_0 \in \mathbf{R}$ and suppose that $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$ is non-diffuse. We define the *rotation number* $\nu(\gamma)$ of γ to be the number of sheets of the covering map $\bar{B}_\gamma: \bar{B}_\gamma^{-1}(B) \rightarrow B$.

Remark. Suppose now that $\kappa_0 > 0$ and $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$ is not only non-diffuse but also condensed (meaning that C is contained in a closed hemisphere). In this case, a “more natural” notion of the rotation number of γ is available, as described on p. 55. Let us temporarily denote by $\bar{\nu}(\gamma)$ the latter rotation number. We claim that $\bar{\nu}(\gamma) = \nu(\gamma)$ for any condensed and non-diffuse curve γ . It is easy to check that this holds whenever γ is a circle traversed a number of times. If γ_s ($s \in [0, 1]$) is a continuous family of curves of this type then $\nu(\gamma_s) = \nu(\gamma_0)$ and $\bar{\nu}(\gamma_s) = \bar{\nu}(\gamma_0)$ for any s , since ν and $\bar{\nu}$ can only take on integral values and every element in their definitions depends continuously on s . Moreover, it follows from (6.2) that any condensed and non-diffuse curve is homotopic through curves of this type to a circle traversed a number of times.

(7.8) Proposition. *Let $\kappa_0 \in \mathbf{R}$ and suppose that $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$ is non-diffuse. Then there exists a constant K depending only on κ_0 such that*

$$\text{tot}(\gamma) \leq K\nu(\gamma).$$

Proof. It is easy to check that being non-diffuse is an open condition. Using (2.8), we deduce that the closure of the subset of all C^2 non-diffuse curves in $\mathcal{L}_{\kappa_0}^{+\infty}$ contains the set of all (admissible) non-diffuse curves. Therefore, we lose no generality in restricting our attention to C^2 curves.

Let $b \in B$ be arbitrary; we have $B = -B$, hence $-b \in B$ also. Let $\hat{\gamma}$ be the other boundary curve of B_γ :

$$\hat{\gamma}(t) = B_\gamma(t, \rho_0 - \pi) = -\cos \rho_0 \gamma(t) - \sin \rho_0 \mathbf{n}(t) \quad (t \in [0, 1]).$$

Then

$$\hat{\gamma}'(t) = (\kappa(t) \sin \rho_0 - \cos \rho_0) \gamma'(t) = \frac{\sin(\rho_0 - \rho(t))}{\sin \rho(t)} \gamma'(t) \quad (t \in [0, 1]).^2 \quad (1)$$

(Here, as always, $\kappa = \cot \rho$ is the geodesic curvature of γ .) In particular, the unit tangent vector $\hat{\mathbf{t}}$ to $\hat{\gamma}$ satisfies $\hat{\mathbf{t}} = \mathbf{t}$. By (2.21), the geodesic curvature $\hat{\kappa}$ of $\hat{\gamma}$ is given by

$$\hat{\kappa}(t) = \cot(\rho(t) - (\rho_0 - \pi)) = \cot(\rho(t) - \rho_0) \quad (t \in [0, 1]). \quad (2)$$

Define $h, \hat{h}: [0, 1] \rightarrow (-1, 1)$ by

$$h(t) = \langle \gamma(t), b \rangle \quad \text{and} \quad \hat{h}(t) = \langle \hat{\gamma}(t), b \rangle. \quad (3)$$

These functions measure the “height” of γ and $\hat{\gamma}$ with respect to $\pm b$. We cannot have $|h(t)| = 1$ nor $|\hat{h}(t)| = 1$ because the images of γ and $\hat{\gamma}$ are contained in C and D respectively, which are disjoint from B (by definition (7.4)). Also,

$$h'(t) = |\gamma'(t)| \langle b, \mathbf{t}(t) \rangle, \quad \hat{h}'(t) = \frac{\sin(\rho_0 - \rho(t))}{\sin \rho(t)} h'(t). \quad (4)$$

Let Γ_t be the great circle whose center on \mathbf{S}^2 is $\mathbf{t}(t)$,

$$\Gamma_t = \{ \cos \theta \gamma(t) + \sin \theta \mathbf{n}(t) : \theta \in [-\pi, \pi) \}.$$

We have $\gamma(t), \hat{\gamma}(t) \in \Gamma_t$ by definition. Moreover, the following conditions are equivalent:

- (i) $b \in \Gamma_t$.

²In this proof, derivatives with respect to t are denoted using a $'$ to simplify the notation.

(ii) $h'(t) = 0$.

(iii) $\hat{h}'(t) = 0$.

(iv) The segment $B_\gamma(\{t\} \times (\rho_0 - \pi, 0))$ contains either b or $-b$.

The equivalence of the first three conditions follows from (4). The equivalence (i) \leftrightarrow (iv) follows from the facts that $b \notin C \cap D$ and that Γ_t is the union of the segments $\pm B_\gamma(\{t\} \times (\rho_0 - \pi, 0))$ and $\pm C_\gamma(\{t\} \times [0, \rho_0])$ (see fig. 6, p. 37). The equivalence of the last three conditions tells us that h and \hat{h} have exactly $2\nu(\gamma)$ critical points, for each of $B_\gamma^{-1}(b)$ and $B_\gamma^{-1}(-b)$ has cardinality $\nu(\gamma)$, by definition (7.7).

Suppose that τ is a critical point of h and \hat{h} . Because $b \in \Gamma_\tau \setminus (C \cup D)$, we can write

$$b = \cos \theta \gamma(\tau) + \sin \theta \mathbf{n}(\tau), \text{ for some } \theta \in (\rho_0 - \pi, 0) \cup (\rho_0, \pi). \quad (5)$$

A straightforward calculation shows that:

$$h''(\tau) = \langle \gamma''(\tau), b \rangle = \frac{|\gamma'(\tau)|^2}{\sin \rho(\tau)} \sin(\theta - \rho(\tau)).$$

Using (5) and $0 < \rho(\tau) < \rho_0$ we obtain that either

$$-\pi < \theta - \rho(\tau) < 0 \quad \text{or} \quad 0 < \theta - \rho(\tau) < \pi.$$

In any case, we deduce that $h''(\tau) \neq 0$. The proof that τ is a nondegenerate critical point of \hat{h} is analogous: one obtains by another calculation that

$$\hat{h}''(\tau) = \frac{|\gamma'(\tau)|^2}{\sin^2(\rho(\tau))} \sin(\rho_0 - \rho(\tau)) \sin(\theta - \rho(\tau)),$$

and it follows from the above inequalities that $\hat{h}''(\tau) \neq 0$. In particular, two neighboring critical points $\tau_0 < \tau_1$ of h (and \hat{h}) cannot be both maxima or both minima for h (and \hat{h}). We will prove the proposition by obtaining an upper bound for $\text{tot}(\gamma|_{[\tau_0, \tau_1]})$.

We first claim that $B_\gamma|_{[\tau_0, \tau_1] \times [\rho_0 - \pi, 0]}$ is injective. Suppose for concreteness that $h' < 0$ throughout (τ_0, τ_1) and that $b = B_\gamma(\tau_0, \theta_0)$, $-b = B_\gamma(\tau_1, \theta_1)$, where $\theta_0, \theta_1 \in (\rho_0 - \pi, 0)$. Let $\alpha = \alpha_1 * \alpha_2 * \alpha_3$ be the concatenation of the curves $\alpha_i: [0, 1] \rightarrow \mathbf{S}^2$ given by

$$\begin{aligned} \alpha_1(t) &= B_\gamma(\tau_0, (1-t)\theta_0), & \alpha_2(t) &= \gamma((1-t)\tau_0 + t\tau_1), \\ \alpha_3(t) &= B_\gamma(\tau_1, t\theta_1), \end{aligned}$$

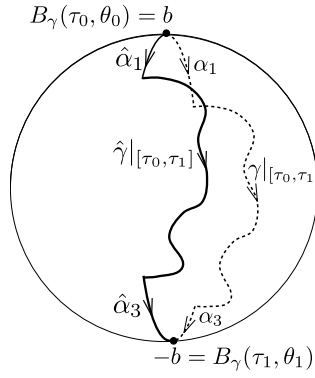


Figure 14: An illustration of the boundary of the rectangle $R = B_\gamma|_{[\tau_0, \tau_1] \times [\rho_0 - \pi, 0]}$ considered in the proof of (7.8).

as sketched in fig. 14. Similarly, let $\hat{\alpha}$ be the concatenation of the curves $\hat{\alpha}_i: [0, 1] \rightarrow \mathbf{S}^2$,

$$\begin{aligned} \hat{\alpha}_1(t) &= B_\gamma(\tau_0, (1-t)\theta_0 + t(\rho_0 - \pi)), & \hat{\alpha}_2(t) &= \hat{\gamma}((1-t)\tau_0 + t\tau_1), \\ \hat{\alpha}_3(t) &= B_\gamma(\tau_1, (1-t)(\rho_0 - \pi) + t\theta_1). \end{aligned}$$

Define six functions $h_i, \hat{h}_i: [0, 1] \rightarrow [-1, 1]$ by the formulas

$$h_i(t) = \langle \alpha_i(t), b \rangle \quad \text{and} \quad \hat{h}_i(t) = \langle \hat{\alpha}_i(t), b \rangle \quad (i = 1, 2, 3).$$

Note that h_2 is essentially the restriction of h to $[\tau_0, \tau_1]$ and similarly for \hat{h}_2 (see (3)). Moreover, all of these functions are monotone decreasing. For $i = 2$ this is immediate from (4) and the hypothesis that $h' < 0$ on (τ_0, τ_1) . For $i = 1, 3$ this follows from the fact that $\alpha_i, \hat{\alpha}_i$ are geodesic arcs through $\pm b$, and our choice of orientations for these curves.

Because the map $B_\gamma|_{[\tau_0, \tau_1] \times [\rho_0 - \pi, 0]}$ is an immersion, if B_γ is not injective then either α and $\hat{\alpha}$ intersect each other, or one of them has a self-intersection. We can discard the possibility that either curve has a self-intersection from the fact that all functions h_i, \hat{h}_i are monotone decreasing. Further, since $B \approx \mathbf{S}^1 \times (-1, 1)$, we can find a Jordan curve $\beta: [0, 1] \rightarrow B$ through $\pm b$ winding once around the \mathbf{S}^1 factor. If α and $\hat{\alpha}$ intersect (at some point other than $\alpha(0) = \hat{\alpha}(0)$ or $\alpha(1) = \hat{\alpha}(1)$), then this must be an intersection of γ and $\hat{\gamma}$. This is impossible because β , which has image in B , separates C and D , which contain the images of γ and $\hat{\gamma}$, respectively.

Thus, $R = B_\gamma|_{[\tau_0, \tau_1] \times [\rho_0 - \pi, 0]}$ is diffeomorphic to a rectangle, and its boundary consists of $\hat{\gamma}|_{[\tau_0, \tau_1]}$, $\gamma|_{[\tau_0, \tau_1]}$ (the latter with reversed orientation) and the two geodesic arcs $B_\gamma(\{\tau_0\} \times [\rho_0 - \pi, 0])$ and $B_\gamma(\{\tau_1\} \times [\rho_0 - \pi, 0])$. Recall from (4.7) that $\frac{\partial B_\gamma}{\partial t}$ is always orthogonal to $\frac{\partial B_\gamma}{\partial \theta}$. Using Gauss-Bonnet we deduce

that

$$\left(\frac{\pi}{2} + \frac{\pi}{2} + \frac{\pi}{2} + \frac{\pi}{2}\right) + \int_{\tau_0}^{\tau_1} \hat{\kappa}(t) |\hat{\gamma}'(t)| dt - \int_{\tau_0}^{\tau_1} \kappa(t) |\gamma'(t)| dt + \text{Area}(R) = 2\pi.$$

Using (1), (2) and the fact that $\text{Area}(R) < \text{Area}(\mathbf{S}^2) = 4\pi$ we obtain:

$$\int_{\tau_0}^{\tau_1} \left(\cot \rho(t) + \frac{\sin(\rho_0 - \rho(t))}{\sin \rho(t)} \cot(\rho_0 - \rho(t)) \right) |\gamma'(t)| dt < 4\pi. \quad (6)$$

Let us see how this yields an upper bound for $\text{tot}(\gamma|_{[\tau_0, \tau_1]})$. From $\cos(x) + \cos(y) = 2 \cos\left(\frac{x+y}{2}\right) \cos\left(\frac{x-y}{2}\right)$ and $|\rho(t) - \frac{\rho_0}{2}| < \frac{\rho_0}{2}$ we deduce that

$$\begin{aligned} & \sin \rho(t) \left(\cot \rho(t) + \frac{\sin(\rho_0 - \rho(t))}{\sin \rho(t)} \cot(\rho_0 - \rho(t)) \right) \\ &= \cos \rho(t) + \cos(\rho_0 - \rho(t)) = 2 \cos\left(\frac{\rho_0}{2}\right) \cos\left(\rho(t) - \frac{\rho_0}{2}\right) \geq 2 \cos^2\left(\frac{\rho_0}{2}\right). \end{aligned}$$

The Euclidean curvature K of γ thus satisfies

$$\begin{aligned} K(t) &= \sqrt{1 + \kappa(t)^2} = \sqrt{1 + \cot^2 \rho(t)} = \csc \rho(t) \\ &\leq \frac{1}{2 \cos^2\left(\frac{\rho_0}{2}\right)} \left(\cot \rho(t) + \frac{\sin(\rho_0 - \rho(t))}{\sin \rho(t)} \cot(\rho_0 - \rho(t)) \right). \end{aligned} \quad (7)$$

Combining (6) and (7) we obtain:

$$\text{tot}(\gamma|_{[\tau_0, \tau_1]}) = \int_{\tau_0}^{\tau_1} K(t) |\gamma'(t)| dt < \frac{2\pi}{\cos^2\left(\frac{\rho_0}{2}\right)}.$$

Extending γ to all of \mathbf{R} by declaring it to be 1-periodic and choosing consecutive critical points $\tau_0 < \tau_1 < \dots < \tau_{2\nu(\gamma)-1} < \tau_{2\nu(\gamma)}$, so that $\tau_{2\nu(\gamma)} = \tau_0 + 1$, we finally conclude from the previous estimate (with $[\tau_{i-1}, \tau_i]$ in place of $[\tau_0, \tau_1]$) that

$$\text{tot}(\gamma) = \sum_{i=1}^{2\nu(\gamma)} \text{tot}(\gamma|_{[\tau_{i-1}, \tau_i]}) < \frac{4\pi}{\cos^2\left(\frac{\rho_0}{2}\right)} \nu(\gamma). \quad \square$$