

## 8 Homotopies of Circles

Let  $k \geq 1$  be an integer. The *bending of the  $k$ -equator* is an explicit homotopy (to be defined below) from a great circle traversed  $k$  times to a great circle traversed  $k + 2$  times. It is an “optimal” homotopy of this type, in the following sense: It is possible to deform a circle traversed  $k$  times into a circle traversed  $k + 2$  times in  $\mathcal{L}_{-\kappa_1}^{+\kappa_1}(I)$  if and only if we may carry out the bending of the  $k$ -equator in this space (meaning that the absolute value of the geodesic curvature is bounded by  $\kappa_1$  throughout the bending). A special case of this construction was considered by Saldanha in [12].

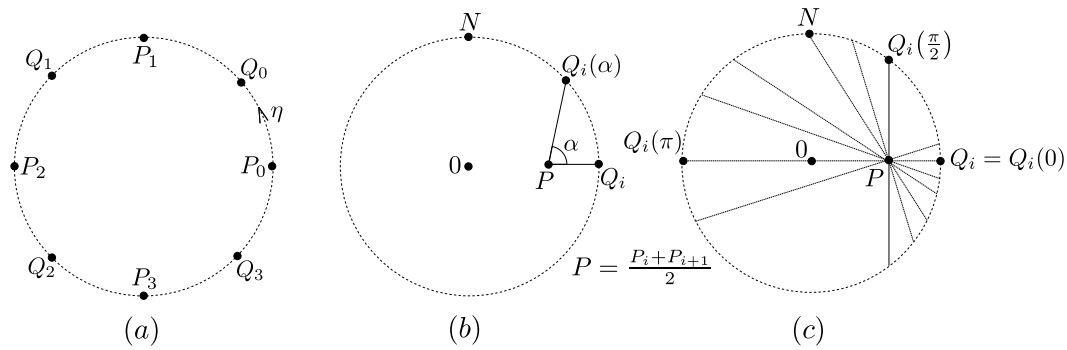


Figure 15:

Let  $N = (0, 0, 1) \in \mathbf{S}^2$  be the north pole, let

$$\eta(t) = (\cos(2k\pi t), \sin(2k\pi t), 0) \quad (t \in [0, 1])$$

be a parametrization of the equator traversed  $k \geq 1$  times ( $k \in \mathbf{N}$ ) and let

$$P_i = \eta\left(\frac{i}{2k+2}\right), \quad Q_i = \eta\left(\frac{i + \frac{1}{2}}{2k+2}\right) \quad (i = 0, 1, \dots, 2k+1),$$

as illustrated in fig. 15(a) for  $k = 1$ . Define  $Q_i(\alpha)$  (see fig. 15(b)) to be the unique point in the geodesic through  $N$  and  $Q_i$  such that

$$\angle Q_i\left(\frac{P_i + P_{i+1}}{2}\right) Q_i(\alpha) = \alpha \quad (-\pi \leq \alpha \leq \pi, i = 0, 1, \dots, 2k+1).$$

Let  $A_i(\alpha) \subset \mathbf{S}^2$  be the arc of circle through  $P_i Q_i(\alpha) P_{i+1}$ , with orientation determined by this ordering of the three points, and define

$$\sigma_{\alpha,i}: \left[0, \frac{1}{2k+2}\right] \rightarrow \mathbf{S}^2 \quad (0 \leq \alpha \leq \pi, i = 0, \dots, 2k+1)$$

to be a parametrization of  $A_i((-1)^i \alpha)$  by a multiple of arc-length, as illustrated in fig. 16 below for  $k = 1$ . Note that  $A_i(0)$  is just  $\frac{k}{2k+2}$  of the equator, while  $A_i(\pi)$  is the “complement” of  $A_i(0)$ , which is  $\frac{k+2}{2k+2}$  of the equator.

Let  $\sigma_\alpha: [0, 1] \rightarrow \mathbf{S}^2$  be the concatenation of all the  $\sigma_{\alpha,i}$ , for  $i$  increasing from 0 to  $2k+1$  (as in fig. 16). Then  $\sigma_0$  is the equator traversed  $k$  times, while  $\sigma_\pi$  is the equator traversed  $k+2$  times, in the opposite direction. The curve  $\sigma_\alpha$  is closed and regular for all  $\alpha \in [0, \pi]$ . However, its geodesic curvature is a step function, taking the value  $(-1)^i \kappa(\alpha)$  for  $t \in (\frac{i}{2k+2}, \frac{i+1}{2k+2})$ , where  $\kappa(\alpha)$  depends only on  $\alpha$ . At the points  $t = \frac{i}{2k+2}$  the curvature is not defined, except for  $\alpha = 0, \pi$ , when the curvature vanishes identically.

We are only interested in the maximum value of  $\kappa(\alpha)$  for  $0 \leq \alpha \leq \pi$ , which can be easily determined. For any  $\alpha$ , the center of the circle  $C$  of which  $A_i(\alpha)$  is an arc is contained in the plane  $\Pi_1$  through  $0, Q_i$  and  $N$ , since this plane is the locus of points equidistant from  $P_i$  and  $P_{i+1}$  ( $\Pi_1$  is the plane of figures 15 (b) and 15 (c)). By definition,  $C$  is contained in the plane  $\Pi_2$  through  $P_i, Q_i(\alpha)$  and  $P_{i+1}$ . Thus, the center of  $C$  lies in the line  $\Pi_1 \cap \Pi_2 = PQ_k(\alpha)$ , and the segment of this line bounded by  $\mathbf{S}^2$  is a diameter of  $C$ . Clearly, this diameter is shortest when  $\alpha = \frac{\pi}{2}$  (see fig. 15 (c)). (More precisely, the shortest chord through a point lying in the interior of a circle is the one which is perpendicular to the diameter through this point; the proof is an exercise in elementary geometry.) The corresponding spherical radius is  $\rho = \frac{k\pi}{2k+2}$ , hence the maximum value attained by  $\kappa(\alpha)$  for  $0 \leq \alpha \leq \pi$  is

$$\kappa\left(\frac{\pi}{2}\right) = \cot\left(\frac{k\pi}{2k+2}\right) = \tan\left(\frac{\pi}{2k+2}\right),$$

and the minimum value is  $-\kappa\left(\frac{\pi}{2}\right)$ .

**(8.1) Definition.** Let  $\sigma_\alpha$  be as in the discussion above ( $0 \leq \alpha \leq \pi$ ) and assume that

$$\kappa_1 > \tan\left(\frac{\pi}{2k+2}\right). \quad (1)$$

The bending of the  $k$ -equator is the family of curves  $\eta_s \in \mathcal{L}_{-\kappa_1}^{+\kappa_1}(I)$  given by:

$$\eta_s(t) = (\Phi_{\sigma_{s\pi}}(0))^{-1} \sigma_{s\pi}(t) \quad (s, t \in [0, 1]).$$

Note that  $\eta_0$  is the equator of  $\mathbf{S}^2$  traversed  $k$  times and  $\eta_1$  is the equator traversed  $k+2$  times, in the same direction. The following result is an

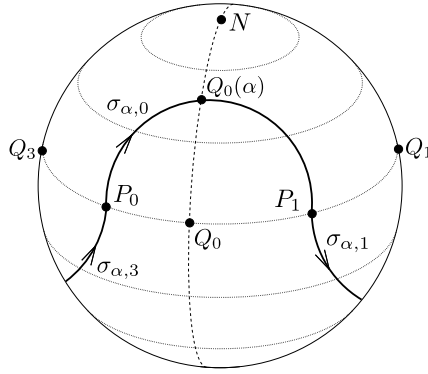


Figure 16: An illustration of the bending of the 1-equator. The curve  $\sigma_\alpha$  is the concatenation of  $\sigma_{\alpha,0}, \dots, \sigma_{\alpha,3}$ .

immediate consequence of the discussion above.

**(8.2) Proposition.** *Let  $\kappa_0 = \cot \rho_0 \in \mathbf{R}$  and let  $\sigma_k, \sigma_{k+2} \in \mathcal{L}_{\kappa_0}^{+\infty}(I)$  be circles traversed  $k$  and  $k+2$  times, respectively. Then  $\sigma_k$  lies in the same component of  $\mathcal{L}_{\kappa_0}^{+\infty}(I)$  as  $\sigma_{k+2}$  if*

$$k \geq \left\lfloor \frac{\pi}{\rho_0} \right\rfloor. \quad (2)$$

*Proof.* Let  $\rho_1 = \frac{\pi - \rho_0}{2}$ , so that  $\kappa_1 = \cot \rho_1$  satisfies (1). Let  $\gamma_s$  ( $s \in [0, 1]$ ) be the image of the bending  $\eta_s$  of the  $k$ -equator under the homeomorphism  $\mathcal{L}_{-\kappa_1}^{+\infty}(I) \approx \mathcal{L}_{\kappa_0}^{+\infty}(I)$  of (2.26). Then  $\gamma_0$  is some circle traversed  $k$  times, while  $\gamma_1$  is a circle traversed  $k+2$  times. Using (4.4) we deduce that  $\sigma_k \simeq \gamma_0 \simeq \gamma_1 \simeq \sigma_{k+2}$ , hence  $\sigma_k$  and  $\sigma_{k+2}$  lie in the same component of  $\mathcal{L}_{\kappa_0}^{+\infty}(I)$ .  $\square$

**(8.3) Corollary.** *Let  $\rho_i = \operatorname{arccot}(\kappa_i)$ ,  $i = 1, 2$ , and suppose that  $\rho_1 - \rho_2 > \frac{\pi}{2}$ . Let  $\sigma_{k_0}, \sigma_{k_1} \in \mathcal{L}_{\kappa_1}^{\kappa_2}(I)$  (resp.  $\mathcal{L}_{\kappa_1}^{\kappa_2}$ ) be two parametrized circles traversed  $k_0$  and  $k_1$  times, respectively. Then  $\sigma_{k_0}$  and  $\sigma_{k_1}$  lie in the same connected component if and only if  $k_0 \equiv k_1 \pmod{2}$ .*

*Proof.* Under the homeomorphism  $\mathcal{L}_{\kappa_1}^{\kappa_2}(I) \approx \mathcal{L}_{\kappa_0}^{+\infty}(I)$  of (2.25), the condition  $\rho_1 - \rho_2 > \frac{\pi}{2}$  translates into  $\rho_0 > \frac{\pi}{2}$ . The result is an immediate consequence of (2.15), (4.4) and (8.2).  $\square$

### Homotopies of condensed curves

The previous corollary settles the question of when two circles in  $\mathcal{L}_{\kappa_0}^{+\infty}(I)$  lie in the same component for  $\kappa_0 < 0$ . Because of this, we will assume for the rest of the section that  $\kappa_0 \geq 0$ ; the following proposition implies the converse to (8.2), and together with it, settles the same question in this case.

**(8.4) Proposition.** *Let  $\kappa_0 = \cot \rho_0 \geq 0$  and let*

$$n = \left\lfloor \frac{\pi}{\rho_0} \right\rfloor + 1.$$

*Suppose that  $s \mapsto \gamma_s \in \mathcal{L}_{\kappa_0}^{+\infty}(I)$  is a homotopy, with  $\gamma_0$  condensed and  $\nu(\gamma_0) \leq n - 2$  ( $s \in [0, 1]$ ). Then  $\gamma_s$  is condensed and  $\nu(\gamma_s) = \nu(\gamma_0)$  for all  $s \in [0, 1]$ .*

In particular, taking  $\gamma_0$  to be a circle  $\sigma_k$  traversed  $k$  times for  $k \leq n - 2$ , we conclude that it is not possible to deform  $\sigma_k$  into a circle traversed  $k + 2$  times in  $\mathcal{L}_{\kappa_0}^{+\infty}$ . The proof of (8.4) will be broken into several parts. We start with the definition of an equatorial curve, which is just a borderline case of a condensed curve.

**(8.5) Definition.** Let  $\kappa_0 \geq 0$ . We shall say that a curve  $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$  is *equatorial* if the image  $C$  of its caustic band is contained in a closed hemisphere, but not in any open hemisphere. Let

$$H_\gamma = \{p \in \mathbf{S}^2 : \langle p, h_\gamma \rangle \geq 0\}$$

be a closed hemisphere containing  $\gamma$ , and let

$$E_\gamma = \{p \in \mathbf{S}^2 : \langle p, h_\gamma \rangle = 0\}$$

denote the corresponding *equator*. Also, let  $\tilde{\gamma}: [0, 1] \rightarrow \mathbf{S}^2$  be the curve given by

$$\tilde{\gamma}(t) = C_\gamma(t, \rho_0).$$

**(8.6) Lemma.** *Let  $\kappa_0 \geq 0$ , let  $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$  be an equatorial curve of class  $C^2$ . Then:*

- (a) *The hemisphere  $H_\gamma$  and the equator  $E_\gamma$  defined above are uniquely determined by  $\gamma$ .*
- (b) *The geodesic curvature  $\tilde{\kappa}$  of  $\tilde{\gamma}$  is given by:*

$$\tilde{\kappa} = \cot(\rho_0 - \rho) > 0.$$

*Proof.* Suppose that  $C = \text{Im}(C_\gamma)$  is contained in distinct closed hemispheres  $H_1$  and  $H_2$ . Then it is contained in the closed lune  $H_1 \cap H_2$ . Since the curves  $\gamma, \tilde{\gamma}$ , whose images form the boundary of  $C$ , have a unit tangent vector at all points, they cannot pass through either of the points in  $E_1 \cap E_2$  (where  $E_i$  is

the equator corresponding to  $H_i$ ). It follows that  $C$  is contained in an open hemisphere, a contradiction which establishes (a).

For part (b) we calculate:<sup>1</sup>

$$\tilde{\gamma}'(t) = |\gamma'(t)| (\cos \rho_0 - \kappa(t) \sin \rho_0) \mathbf{t}(t) \quad (3)$$

$$\tilde{\gamma}''(t) = |\gamma'(t)|^2 (\cos \rho_0 - \kappa(t) \sin \rho_0) (-\gamma(t) + \kappa(t) \mathbf{n}(t)) + \lambda(t) \mathbf{t}(t), \quad (4)$$

where  $\kappa$ ,  $\mathbf{t}$  and  $\mathbf{n}$  denote the geodesic curvature of and unit and normal vectors to  $\gamma$ , respectively, and the value of  $\lambda(t)$  is irrelevant to us. Hence,

$$\tilde{\kappa} = \frac{\langle \tilde{\gamma}, \tilde{\gamma}' \times \tilde{\gamma}'' \rangle}{|\tilde{\gamma}'|^3} = \frac{\kappa \cos \rho_0 + \sin \rho_0}{|\cos \rho_0 - \kappa \sin \rho_0|} = \frac{\cos(\rho_0 - \rho)}{|\sin(\rho - \rho_0)|} = \cot(\rho_0 - \rho). \quad \square$$

**(8.7) Lemma.** *Let  $\kappa_0 \geq 0$  and  $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$  be an equatorial curve of class  $C^2$ . Take  $N \in E_\gamma$  and define  $h, \check{h}: [0, 1] \rightarrow \mathbf{R}$  by*

$$h(t) = \langle \gamma(t), N \rangle, \quad \check{h}(t) = \langle \tilde{\gamma}(t), N \rangle. \quad (5)$$

(a) *The following conditions are equivalent:*

- (i)  $\pm N \in \Gamma_\tau$  for some  $\tau \in [0, 1]$ .
- (ii)  $\tau \in [0, 1]$  is a critical point of  $h$ .
- (iii)  $\tau \in [0, 1]$  is a critical point of  $\check{h}$ .

(b) *If  $\tau$  is a common critical point of  $h, \check{h}$ , then  $h''(\tau)\check{h}''(\tau) < 0$ .*

(c) *If  $\tau < \bar{\tau}$  are neighboring critical points then  $h''(\tau)h''(\bar{\tau}) < 0$  and  $\check{h}''(\tau)\check{h}''(\bar{\tau}) < 0$ .*

Recall that  $\Gamma_t$  is the great circle

$$\Gamma_t = \{ \cos \theta \gamma(t) + \sin \theta \mathbf{n}(t) : \theta \in [-\pi, \pi) \}.$$

Part (b) implies in particular that all critical points of  $h, \check{h}$  are nondegenerate.

*Proof.* A straightforward calculation using (3) shows that:

$$h'(t) = |\gamma'(t)| \langle N, \mathbf{t}(t) \rangle, \quad \check{h}'(t) = \frac{\sin(\rho(t) - \rho_0)}{\sin \rho(t)} h'(t) \quad (t \in [0, 1]). \quad (6)$$

The equivalence of the conditions in (a) is immediate from this and the definition of  $\Gamma_t$ .

<sup>1</sup>For the rest of the section we denote derivatives with respect to  $t$  by a ' to unclutter the notation.

From  $\pm N \in E_\gamma$  and  $C = \text{Im}(C_\gamma) \subset H_\gamma$ , it follows that  $\pm N \notin C([0, 1] \times (0, \rho_0))$ . Thus, if  $\tau$  is a critical point of  $h, \check{h}$ , i.e., if  $N \in \Gamma_\tau$  then we can write

$$N = \cos \theta \gamma(\tau) + \sin \theta \mathbf{n}(\tau) \quad \text{for some } \theta \in [\rho_0 - \pi, 0] \cup [\rho_0, \pi]. \quad (7)$$

Another calculation, with the help of (4), yields:

$$h''(\tau) = \frac{|\gamma'(\tau)|^2}{\sin \rho(\tau)} \sin(\theta - \rho(\tau)), \quad \check{h}''(\tau) = \frac{|\gamma'(\tau)|^2}{\sin^2 \rho(\tau)} \sin(\theta - \rho(\tau)) \sin(\rho(\tau) - \rho_0)$$

Taking the possible values for  $\theta$  in (7) and  $0 < \rho(\tau) < \rho_0$  into account, we deduce that

$$h''(\tau)\check{h}''(\tau) = \frac{|\gamma'(\tau)|^4}{\sin^3 \rho(\tau)} \sin^2(\theta - \rho(\tau)) \sin(\rho(\tau) - \rho_0) < 0,$$

since all terms here are positive except for  $\sin(\rho(\tau) - \rho_0)$ . This proves (b).

For part (c), suppose that  $\tau < \bar{\tau}$  are neighboring critical points, but  $h''(\tau)h''(\bar{\tau}) > 0$ . This means that  $h'$  vanishes at  $\tau, \bar{\tau}$  and takes opposite signs on the intervals  $(\tau, \tau + \varepsilon)$  and  $(\bar{\tau} - \varepsilon, \bar{\tau})$  for small  $\varepsilon > 0$ . Hence, it must vanish somewhere in  $(\tau, \bar{\tau})$ , a contradiction. The proof for  $\check{h}$  is the same.  $\square$

Let  $\kappa_0 \geq 0$ ,  $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$  be an equatorial curve and  $\text{pr}: \mathbf{S}^2 \rightarrow \mathbf{R}^2$  denote the stereographic projection from  $-h_\gamma$ , where  $H_\gamma = \{p \in \mathbf{S}^2 : \langle p, h_\gamma \rangle \geq 0\}$ . As for any condensed curve, we may define a (non-unique) continuous angle function  $\theta$  by the formula:

$$\exp(i\theta(t)) = \mathbf{t}_\eta(t), \quad \eta(t) = \text{pr} \circ \gamma(t) \quad (t \in [0, 1]);$$

here  $\mathbf{t}_\eta$  is the unit tangent vector, taking values in  $\mathbf{S}^1$ , of the plane curve  $\eta$ . The function  $\theta$  is strictly decreasing since  $\kappa_0 \geq 0$ , and

$$2\pi\nu(\gamma) = \theta(0) - \theta(1).$$

**(8.8) Lemma.** *Let  $\kappa_0 \geq 0$ ,  $\gamma \in \mathcal{L}_{\kappa_0}^{+\infty}$  be an equatorial curve of class  $C^2$  and*

$$n = \left\lfloor \frac{\pi}{\rho_0} \right\rfloor + 1.$$

*Then  $\nu(\gamma) \geq n - 1$ .*

*Proof.* Let  $C = \text{Im}(C_\gamma)$ ,  $H = H_\gamma$  be the closed hemisphere containing  $\gamma$  and  $E = E_\gamma$  be the corresponding equator, oriented so that  $H$  lies to its left. It

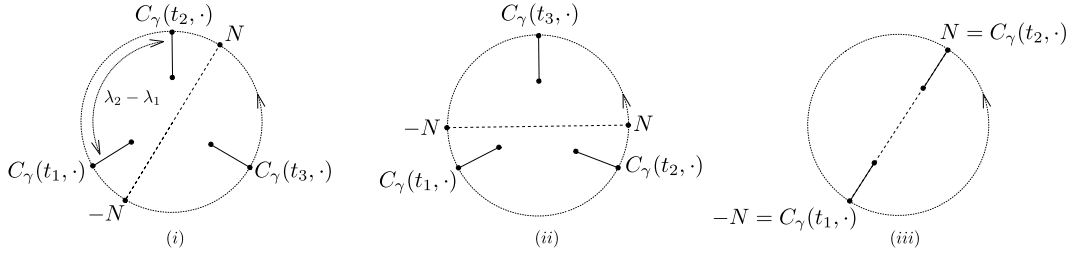


Figure 17: Three possibilities for an equatorial curve  $\gamma$ . The circle represents  $E_\gamma$  and its interior represents  $H_\gamma$ , seen from above.

follows from the combination of (11.1), (11.5) and (11.2) that either we can find two antipodal points in  $C \cap E$  or we can choose  $t_1 < t_2 < t_3$  and  $\theta_i \in \{0, \rho_0\}$  such that 0 is a convex combination of the points  $C_\gamma(t_i, \theta_i) \in C \cap E$ . There are three possibilities, as depicted in fig. 17; the only difference between the first two is the order of the points in the orientation of  $E$ .

In cases (i) and (ii), choose  $N$  in  $E$  so that

$$\langle C_\gamma(t_2, \theta_2), N \rangle = -\langle C_\gamma(t_1, \theta_1), N \rangle > 0.$$

Let  $h$  and  $\check{h}$  be as in (5) and define latitude functions  $\lambda, \check{\lambda}$  by

$$\lambda(t) = \arcsin(h(t)), \quad \check{\lambda}(t) = \arcsin(\check{h}(t)) \quad (t \in [0, 1]).$$

Let  $\tau_1 < \dots < \tau_{k_1}$  be all the common critical points of these functions in the interval  $[t_1, t_2)$ , and let

$$m_j = \min\{\lambda(\tau_j), \check{\lambda}(\tau_j)\}, \quad M_j = \max\{\lambda(\tau_j), \check{\lambda}(\tau_j)\}.$$

From (8.7(a)), we deduce that

$$M_j - m_j = \rho_0 \quad \text{for all } j = 1, \dots, k_1, \quad (8)$$

while from (8.7(b)) and (8.7(c)), we deduce that the  $\tau_j$  are alternatingly maxima and minima of  $\lambda$  (resp. minima and maxima of  $\check{\lambda}$ ) as  $j$  goes from 1 to  $k_1$ , whence

$$M_j > m_{j+1} \quad \text{for all } j = 1, \dots, k_1 - 1. \quad (9)$$

Let

$$\lambda_2 = \max\{\lambda(t_2), \check{\lambda}(t_2)\} \quad \text{and} \quad \lambda_1 = \min\{\lambda(t_1), \check{\lambda}(t_1)\} = -\lambda_2.$$

Then  $\lambda_2 - \lambda_1$  is just the angle between  $C_\gamma(t_1, \cdot) \cap E$  and  $C_\gamma(t_2, \cdot) \cap E$  measured

along  $E$ , as depicted in fig. 17(i). For the rest of the proof we consider each case separately.

In case (i),

$$m_1 \leq \lambda_1 \quad \text{and} \quad \lambda_2 \leq M_{k_1}. \quad (10)$$

Combining (8), (9) and (10), we find that

$$k_1 \rho_0 = \sum_{j=1}^{k_1} (M_j - m_j) > \sum_{j=1}^{k_1-1} (m_{j+1} - m_j) + M_{k_1} - m_{k_1} = M_{k_1} - m_1 \geq \lambda_2 - \lambda_1. \quad (11)$$

Let there be  $k_2$  (resp.  $k_3$ ) critical points of  $h, \check{h}$  in the interval  $[t_2, t_3)$  (resp.  $[t_3, t_1 + 1)$ ), where for the latter we are considering  $\gamma$  as a 1-periodic curve. Then an analogous result to (11) holds for  $k_2$  and  $k_3$ , and summing all three inequalities we conclude that

$$k_1 + k_2 + k_3 > \frac{2\pi}{\rho_0} \geq 2(n - 1).$$

In case (i), the number of half-turns of the tangent vector to the image of  $\gamma$  under stereographic projection through  $-h_\gamma$  in  $[0, 1]$  is given by  $k_1 + k_2 + k_3 - 2$ . Hence,

$$\nu(\gamma) = \frac{k_1 + k_2 + k_3 - 2}{2} > n - 2,$$

as claimed.

In case (ii), a direct calculation using basic trigonometry shows that

$$m_1 < \arcsin(\cos \rho_0 \sin \lambda_1) = -\arcsin(\cos \rho_0 \sin \lambda_2)$$

and  $M_{k_1} > \arcsin(\cos \rho_0 \sin \lambda_2)$ .

Combining this with (8) and (9), we obtain that

$$\begin{aligned} k_1 \rho_0 &= \sum_{j=1}^{k_1} (M_j - m_j) > \sum_{j=1}^{k_1-1} (m_{j+1} - m_j) + M_{k_1} - m_{k_1} \\ &= M_{k_1} - m_1 > 2 \arcsin(\cos \rho_0 \sin \lambda_2), \end{aligned}$$

and similarly for  $k_2$  and  $k_3$ , where the latter denote the number of critical points of  $h, \check{h}$  in the intervals  $[t_2, t_3)$  and  $[t_3, t_1 + 1)$ , respectively. More precisely, we have

$$k_1 + k_2 + k_3 > \frac{2}{\rho_0} \sum_{i=1}^3 \arcsin(\cos \rho_0 \sin \lambda_{2i}), \quad (12)$$

where  $\lambda_4 = \max \{ \lambda(t_3), \check{\lambda}(t_3) \}$ ,  $\lambda_6 = \max \{ \lambda(t_1), \check{\lambda}(t_1) \}$  and these latitudes are measured with respect to the chosen points  $\pm N$  corresponding to each of the intervals  $[t_2, t_3]$  and  $[t_3, t_3 + 1]$ . In case (ii), the number of half-turns of the



tangent vector to the image of  $\gamma$  under stereographic projection through  $-h_\gamma$  in  $[0, 1]$  is given by  $k_1 + k_2 + k_3 - 2$ . Hence, it follows from (12) and lemma (8.9) below that

$$\nu(\gamma) = \frac{k_1 + k_2 + k_3 + 2}{2} > \left(\frac{\pi}{\rho_0} - 2\right) + 1 \geq n - 2,$$

as we wished to prove.

Finally, in case (iii), we may choose  $\pm N \in E \cap C$ , that is, we may find  $t_1 < t_2$  and  $\theta_i \in \{0, \rho_0\}$  such that

$$N = C_\gamma(t_2, \theta_2) = -C_\gamma(t_1, \theta_1).$$

In this case  $\lambda_2 - \lambda_1 = \pi$  and

$$\nu(\gamma) = \frac{k_1 + k_2 - 2}{2},$$

where  $k_1$  (resp.  $k_2$ ) is the number of critical points of  $h, \check{h}$  in  $[t_1, t_2]$  (resp.  $[t_2, t_1 + 1]$ ). Note that  $t_1, t_2$  are critical points of  $h$  which are counted twice in the sum  $k_1 + k_2$  (under the identification of  $t_1$  with  $t_1 + 1$ ); this is the reason why we need to subtract 2 from  $k_1 + k_2$  to calculate the number of half-turns of the tangent vector. Using (9) one more time, we deduce that

$$k_1 \rho_0 = \sum_{j=1}^{k_1} (M_j - m_j) > \sum_{j=1}^{k_1-1} (m_{j+1} - m_j) + M_{k_1} - m_{k_1} = M_{k_1} - m_1 = \lambda_2 - \lambda_1 = \pi;$$

similarly,  $k_2 \rho_0 > \pi$ . Therefore,

$$\nu(\gamma) = \frac{k_1 + k_2 - 2}{2} > \frac{\pi}{\rho_0} - 1 \geq n - 2. \quad \square$$

Here is the technical lemma that was invoked in the proof of (8.8).

**(8.9) Lemma.** *Let  $\lambda_2 + \lambda_4 + \lambda_6 = \pi$ ,  $0 \leq \lambda_i \leq \frac{\pi}{2}$  and  $0 < \rho_0 \leq \frac{\pi}{2}$ . Then*

$$\arcsin(\cos \rho_0 \sin \lambda_2) + \arcsin(\cos \rho_0 \sin \lambda_4) + \arcsin(\cos \rho_0 \sin \lambda_6) \geq \pi - 2\rho_0$$

*Proof.* Let  $f: [0, \pi] \rightarrow \mathbf{R}$  be the function given by  $f(t) = \arcsin(\cos \rho_0 \sin t)$ . Then

$$f''(t) = -\frac{\sin^2 \rho_0 \cos \rho_0 \sin t}{(1 - \cos^2 \rho_0 \sin^2 t)^{\frac{3}{2}}},$$

so that  $f''(t) \leq 0$  for all  $t \in (0, \pi)$  and  $f$  is a concave function. Consequently,

$$f(s_1 a + s_2 b + s_3 c) \geq s_1 f(a) + s_2 f(b) + s_3 f(c) \quad (13)$$

for any  $a, b, c \in [0, \pi]$ ,  $s_i \in [0, 1]$ ,  $s_1 + s_2 + s_3 = 1$ . Define  $g: T \rightarrow \mathbf{R}$  by  $g(x, y, z) = f(x) + f(y) + f(z)$ , where

$$T = \{(x, y, z) \in \mathbf{R}^3 : x + y + z = \pi, x, y, z \in [0, \frac{\pi}{2}]\}.$$

In other words,  $T$  is the triangle with vertices  $A = (0, \frac{\pi}{2}, \frac{\pi}{2})$ ,  $B = (\frac{\pi}{2}, 0, \frac{\pi}{2})$  and  $C = (\frac{\pi}{2}, \frac{\pi}{2}, 0)$ . It follows from (13) (applied three times) that

$$g(s_1u + s_2v + s_3w) \geq s_1g(u) + s_2g(v) + s_3g(w) \quad (14)$$

for any  $u, v, w \in T$ ,  $s_i \in [0, 1]$ ,  $s_1 + s_2 + s_3 = 1$ . Moreover, a direct verification shows that

$$g(A) = g(B) = g(C) = 2 \arcsin(\cos \rho_0) = \pi - 2\rho_0.$$

If  $p \in T$  then we can write

$$p = s_1A + s_2B + s_3C \text{ for some } s_1, s_2, s_3 \in [0, 1] \text{ with } s_1 + s_2 + s_3 = 1.$$

Therefore, (14) guarantees that

$$g(p) \geq s_1g(A) + s_2g(B) + s_3g(C) = \pi - 2\rho_0. \quad \square$$

*Proof of (8.4).* If  $\gamma_s$  is condensed for all  $s \in [0, 1]$ , then  $s \mapsto \nu(\gamma_s)$  is defined and constant, since it can only take on integral values. Thus, if the assertion is false, there must exist  $s \in [0, 1]$ , say  $s = 1$ , such that  $\gamma_s$  is not condensed. By (6.1),  $\gamma_0$  is homotopic to a circle traversed  $\nu(\gamma_0)$  times. Moreover, the set of non-condensed curves is open. Together with (2.10), this shows that there exist  $C^2$  curves  $\gamma_{-1}, \gamma_2$  such that:

- (i) There exist a path joining  $\gamma_{-1}$  to  $\gamma_0$  and a path joining  $\gamma_1$  to  $\gamma_2$  in  $\mathcal{L}_{\kappa_0}^{+\infty}(I)$ ;
- (ii)  $\gamma_{-1}$  is condensed and has rotation number  $\nu(\gamma_0)$ ;
- (iii)  $\gamma_2$  is not condensed.

Consider the map  $f: \mathbf{S}^0 \rightarrow \mathcal{L}_{\kappa_0}^{+\infty}(I)$  given by  $f(-1) = \gamma_{-1}$ ,  $f(1) = \gamma_2$ . The existence of the homotopy  $\gamma_s$  ( $s \in [0, 1]$ ) tells us that  $f$  is nullhomotopic in  $\mathcal{L}_{\kappa_0}^{+\infty}(I)$ . By (2.10),  $f$  must be nullhomotopic in  $\mathcal{C}_{\kappa_0}^{+\infty}(I)$ . In other words, we may assume at the outset that each  $\gamma_s$  is of class  $C^2$  ( $s \in [0, 1]$ ).

With this assumption in force, let  $s_0$  be the infimum of all  $s \in [0, 1]$  such that  $\gamma_s$  is not condensed, and let  $\gamma = \gamma_{s_0}$ . Then  $\gamma$  must be condensed by (11.2), and it must be equatorial by our choice of  $s_0$ . In addition,  $\nu(\gamma_s)$  must be

constant ( $s \in [0, s_0]$ ), since it can only take on integral values. This contradicts (8.8).  $\square$